

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

APPLICANT'S BUSINESS NUMBER 00000012 09731501

710.00 OP  
160.00 OP  
270.00 OP

PTO-1556  
(5/87)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**REQUEST FOR FILING NATIONAL PATENT APPLICATION**  
Under 35 USC 111(a) and Rule 53(b)

Hon. Commissioner of Patents  
 Washington, D.C. 20231

WITH SIGNED DECLARATION

PATENT APPLICATION

**NONPROVISIONAL  
NON REISSUE  
NON PCT NAT PHASE**



**00909**

JC841 U.S. PRO  
09/761581  
01/18/01

Sir:

Herewith is the PATENT APPLICATION of  
 Inventor(s): SMITH, John C. et al

Title METHODS

Atty. Dkt.: P 276523 | PHM70639/US  
 M# | Client Ref

including:

Date: January 18, 2001

1. Specification: 20 pages (only spec. and claims)
2.  Specification in non-English language
3. Declaration  Original  Facsimile/Copy  Abstract 1 page(s); 10 numbered claims
4.  Drawings: \_\_\_\_\_ sheet(s)  informal;  formal of size:  A4  11"
5.  See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# \_\_\_\_\_ SN \_\_\_\_\_ )
6. AMEND the specification please by inserting before the first line: -- This is a  Continuation-in-Part  
 Divisional  Continuation  Substitute Application (MPEP 201.09) of:  
 6(a)  National Appln. No. \_\_\_\_\_ / filed \_\_\_\_\_ . (M# \_\_\_\_\_ )  
 6(b)  International Appln. No. \_\_\_\_\_ filed \_\_\_\_\_  
 which designated the U.S., and that International Application  was  was not published under PCT Article 21(2) in English--
7.  AMEND the specification by inserting before the first line: -- This application claims the benefit of U.S. Provisional Application No. 60/ \_\_\_\_\_ , filed \_\_\_\_\_ . --
8.  Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
9.  Prior application is assigned to \_\_\_\_\_

by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Great Britain

11. \_\_\_\_\_ (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 0000995.1	18 JAN 2000	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

12. 1 (No.) Certified copy (copies):  attached;  previously filed (date) \_\_\_\_\_  
 in U.S. Application No. \_\_\_\_\_ / filed on \_\_\_\_\_
13. Small entity status   is not claimed;  is claimed (Pre-filing confirmation required)
- 13(a).  Attached: \_\_\_\_\_ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)

14. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

JC 841 U.S. PTO  
09/15/01  
D1/18/01

15.  This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16.  Attached: Sequence Listing (Paper Version - 2 pages)

17.  Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

		Large/Small Entity		Fee Code
18. Basic Filing Fee		\$710/\$355	\$710	101/201
19. Total Effective Claims	14	minus 20 = *0	x \$18/\$9 = + 0	103/203
20. Independent Claims	5	minus 3 = *2	x \$80/\$40 = + 160	102/202
*If answer is zero or less, enter "0"				
21. If any proper multiple dependent claim (ignore improper) is present , add (Leave this line blank if this is a reissue application)		+ \$270/\$135	+ 270	104/204
22.		<b>TOTAL FILING FEE ENCLOSED =</b>	<b>\$1140</b>	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee		+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee		+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.		+ \$130	+ 0	122
26.		<b>TOTAL FEE ENCLOSED =</b>	<b>\$1180</b>	

Our Deposit Account No. 03-3975

Our Order No. 9901

| 276523

C#

M#



00909

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP  
Intellectual Property Group

By Atty: Donald J. Bird

Reg. No. 25323

Sig:

Fax: (202) 822-0944  
Tel: (202) 861-3027

Atty/Sec: DJB/sdm

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

JC803 U.S. PTO IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

01/18/01

PATENT APPLICATION

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Application No.	Filing Date	Application No.	Filing Date	PRO U.S. 10/18/01 109/761501 10/17/01 10841
(1)		(4)		
(2)		(5)		
(3)		(6)		

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20. Independent Claims 5 minus 3 = *2	x \$80/\$40 = + 160	+ 160	102/202
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Our Deposit Account No. 03-3975

Our Order No. 9901

C# 276523 M#



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# APPLICATION UNDER UNITED STATES PATENT LAWS

- Atty. Dkt. No. PM 276523  
(M#)

- Invention: METHODS

Inventor (s): SMITH, John C.  
ANAND, Rakesh  
MORTEN, John E.N.

Pillsbury Winthrop LLP  
Intellectual Property Group  
1100 New York Avenue, NW  
Ninth Floor  
Washington, DC 20005-3918  
Attorneys  
Telephone: (202) 861-3000

This is a:

- Provisional Application
- Regular Utility Application
- Continuing Application
  - The contents of the parent are incorporated by reference
- PCT National Phase Application
- Design Application
- Reissue Application
- Plant Application
- Substitute Specification
  - Sub. Spec Filed \_\_\_\_\_ /  
in App. No. \_\_\_\_\_ /
- Marked up Specification re  
Sub. Spec. filed \_\_\_\_\_ /  
In App. No. \_\_\_\_\_ /

## SPECIFICATION